

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

B/O Form PTO-1390		<b>Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371</b>	Attorney's Docket Number VOND3002/REF U.S. Application Number (if known) 09/426432
International Application Number PCT/EP00/03953	International Filing Date May 3, 2000	Priority Date Claimed May 3, 1999	
Title of Invention <b>REINFORCING AND/OR PROCESS FIBERS BASED ON VEGETABLE FIBERS AND PRODUCTION THEREOF</b>			
Applicant(s) for DO/EO/US VON DRACH et al.			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed 35 USC 371(c)(2).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). ( ☐ Executed ☐ Unexecuted )
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet

Application Number (if Known) <b>09/926432</b>		International Application Number <b>PCT/EP00/03953</b>		Attorney's Docket Number <b>VOND3002/REF</b>	
				Calculations	PTO USE ONLY
17. The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> <input checked="" type="checkbox"/> Search report has been prepared by the EPO or JPO ..... \$890.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) ..... \$710.00 <input type="checkbox"/> No International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) but International Search Fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00 <input type="checkbox"/> Neither International Preliminary Examination Fee (37 CFR 1.482) nor International Search Fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1040.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b>				<b>\$</b>	<b>890.00</b>
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	16	-20 =	0	× \$18.00	\$ 0.00
Independent Claims	2	-3 =	0	× \$84.00	\$ 0.00
Multiple Dependent Claims (if applicable)				+ \$280.00	
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$</b>	<b>890.00</b>
Reduction by ½ for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.					
<b>SUBTOTAL</b>					
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE</b>					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property.					
<b>TOTAL FEES ENCLOSED</b>				<b>\$</b>	<b>890.00</b>
				Amount to be:	
				Refunded:	
				Charged:	

- a. ☒ A check in the amount of \$890.00 to cover the fees is enclosed.  
 b. ☐ Please charge my **Deposit Account Number 02-0200** in the amount of \$ to cover the above fees.  
     A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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**23364**

PATENT TRADEMARK OFFICE

DATE: November 1, 2001

Respectfully submitted,

*Richard E. Fichter*

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 Registration Number: 26,382

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: :  
VON DRACH et al. : Attention: PCT OFFICE  
: :  
U.S. National Phase of PCT/EP00/03953 :  
: :  
Entry papers filed herewith November 1, 2001 :  
: :  
For: REINFORCING AND/OR PROCESS FIBERS BASED ON VEGETABLE  
FIBERS AND PRODUCTION THEREOF

**PRELIMINARY AMENDMENT  
AND INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The present application is the U.S. national phase of international application number PCT/EP00/03953.

Please amend the above-identified application as follows:

**IN THE CLAIMS:**

Please replace claims 3-6, 9, 11, 13 and 16 with the following amended claims.

3(Amended). Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 and fibrillated aramid fibres.

4(Amended). Fibres according to Claim 3, characterized in that the weight fraction of the fibres is between 10% and 90%.

5(Amended). Fibres according to Claim 3, characterized in that the weight fraction of the fibres is between 50% and 80%.

6(Amended). Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 and shives.

9(Amended). Additive for friction linings, containing fibres according to Claim 1 and tin sulphides.

11(Amended). Friction lining, characterized in that it contains fibres according to Claim 1.

13(Amended). Gasket, characterized in that it contains fibres according to Claim 1.

16(Amended). Process according to Claim 11, characterized in that the starting material used is a fibre-shives mixture.

**REMARKS**

Applicants submit herewith the English translation of the present application which was originally filed in German.

Applicants have amended the claims in order to reduce the initial filing fee by deleting the multiple dependent claims from the application. Applicants retain the right to reintroduce any subject matter canceled by the present Amendment at any time during the prosecution of this application or any further application claiming benefit of this application.

Applicants are submitting herewith a copy of the Search Report which issued on International Application No. PCT/EP00/03953, of which the present application is the U.S. national phase. All of the publications cited in the International Search Report are listed on the attached Form PTO-1449. It is Applicants' understanding that, under the procedures of the PCT, copies of the cited publications will have been supplied to the U.S. Patent Office by the International Bureau. However, the Examiner is invited to contact the undersigned attorney if additional copies are necessary or would facilitate examination of the present application.

Otherwise, the Examiner is respectfully requested to return an initialed and dated copy of the attached Form PTO-1449 to confirm that all publications listed thereon have been considered and made officially of record in the file of this application.

Applicants understand that, under the procedures of the PCT, a copy of the priority document (DE 199 20 225.7, filed 3 May 1999) will have been supplied to the U.S. Patent Office pursuant to Rule 17 of the PCT Regulations. It is therefore respectfully requested that the first Official Action in the present application contain an indication that the appropriate priority document is in the file of this application.

In view of the above amendments, an early action on the application is now in order and is most respectfully requested.

Respectfully submitted,

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REF:kdd  
PA01.wpd

DATE: November 1, 2001

**Marked-Up Version Showing Changes Made**

**IN THE CLAIMS:**

Please replace claims 3-6, 9, 11, 13 and 16 with the following amended claims.

3(Amended). Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 [or 2] and fibrillated aramid fibres.

4(Amended). Fibres according to Claim 3, characterized in that the weight fraction of the fibres [according to Claim 1 or 2] is between 10% and 90%.

5(Amended). Fibres according to Claim 3 [or 4], characterized in that the weight fraction of the fibres [according to Claim 1 or 2] is between 50% and 80%.

6(Amended). Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 [or 2] and shives.

9(Amended). Additive for friction linings, containing fibres according to [any of Claims 1 and 8] Claim 1 and tin sulphides.

11(Amended). Friction lining, characterized in that it contains fibres according to [any of Claims 1 to 8] Claim 1.

13(Amended). Gasket, characterized in that it contains fibres according to [any of Claims 1 to 8] Claim 1.

16(Amended). Process according to Claim 11 [or 12], characterized in that the starting material used is a fibre-shives mixture.

4/pts

REINFORCING AND/OR PROCESS FIBRES BASED ON VEGETABLE  
FIBRES AND PRODUCTION THEREOF

The present invention relates to reinforcing and/or  
5 process fibres based on vegetable fibres whose  
elementary fibres are fibrillated and to a process for  
their production.

Vegetable fibres include for example bast fibres which  
10 are obtained from the stems of fibre plants. Bast  
fibres are composed of fibres in which plural  
elementary fibres, which constitute individual cells,  
are combined into fibre bundles. Fibrillation is a  
15 process where an elementary fibre, which typically is  
between 10  $\mu\text{m}$  and 30  $\mu\text{m}$  in diameter, is split open  
laterally into finer fibres which are known as fibrils.  
Such fibrils are typically less than 300  $\mu\text{m}$  in length  
and between 1  $\mu\text{m}$  and 5  $\mu\text{m}$  in diameter. The fibrils  
20 formed in the process of fibrillation generally remain  
attached to the fibre at one end.

In addition, the stems of bast fibre plants comprise  
shives. Shives are the woody fibre plant constituents  
which do not contain bast fibres.

25 It is customary to produce reinforcing or process  
fibres on the basis of synthetic aramid fibres, which  
are fibrillated using specific mills. Figure 4 is a  
micrograph of a fibrillated aramid fibre. In  
30 macroscopic terms, fibrillation confers a woolly habit  
on a fibre.

When used as process fibres, fibrillated aramid fibres  
have favourable effects on the processing properties in  
35 composite materials of the chemical industry, for  
example in friction linings and gaskets. Aramid fibres  
are notable for the particularly high fibril content  
which can be achieved in fibrillation.



However, fibrillated aramid fibres are disadvantageous in that they are very costly and may release toxic decomposition products, for example hydrocyanic acid, at high temperatures, so that their disposal is  
5 problematical.

There are also reinforcing or process fibres that are based on vegetable fibres or organic natural fibres. Such fibres are described in DE 19 703 634 A1. The  
10 fibres described therein can be obtained by using ultrasound to release them from the assembly in the stems and to individualize them. It is known for such fibres to be wet ground. However, this has hitherto provided a different fibre variety, namely a very  
15 short, attrited elementary fibre. Such a wet grinding process does not provide the typical construction of a fibrillated fibre, which comprises elementary fibres having an average diameter of about 15  $\mu\text{m}$  and, attached thereto at one end, fibrils having an average diameter  
20 of less than 5  $\mu\text{m}$ . However, these wet-ground fibres do have a relatively high Blaine-Dyckerhoff specific surface area, explained hereinbelow. But the reason for this is not a high degree of fibrillation, as defined herein, but the substantial shortening of the fibres.  
25 Hitherto, fibres based on vegetable fibres have thus not been able to substitute for synthetic fibrillated aramid fibres.

It is an object of the present invention to provide  
30 reinforcing and/or process fibres which are based on vegetable fibres and can substitute for synthetic fibrillated aramid fibres.

It is further an object of the present invention to  
35 provide reinforcing and/or process fibres based on vegetable fibres that are readily blendable with fibrillated aramid fibres.

It is further an object of the present invention to provide a process for their production.

- 5 This object is achieved according to the invention by reinforcing and/or process fibres based on vegetable fibres whose elementary fibres are fibrillated, wherein the elementary fibres have a fibril content greater than 3 area per cent and less than 50 area per cent. Given such a high fibril content, the reinforcing and/or process fibres of the invention can substitute 10 for fibrillated aramid fibres in many sectors.

- 15 The fibres of the invention can be based on flax, hemp, sisal, jute or ramie fibres for example. The use of such vegetable fibres is advantageous in that they are substantially less costly than synthetic aramid fibres and do not give rise to ecologically problematical products whatever at high temperatures or at disposal.
- 20 Furthermore, the fibres of the invention can be blended with fibrillated aramid fibres in order that economically more attractive reinforcing and/or process fibres may be produced in this way. The weight fraction of the fibres according to the invention in such blends can be between 10% and 90%, a weight fraction between 25 50% and 80% being preferred.

- Furthermore, the fibres according to the invention can either be substantially free of shives or consist of a mixture of fibrillated fibres and shives. It is 30 advantageous either to keep the shives fraction below 10% by weight or to choose a high shives content between 25% by weight and 75% by weight, depending on the application. Such a fibre-shives mixture has the advantage of being less costly than pure fibre 35 material. Furthermore, such a mixture is advantageous in some applications, for example in friction linings where additional fillers are desired.

Advantageously, an additive for friction linings can contain the fibres according to the invention, preferably at a weight fraction between 5 and 95% by weight, together with tin sulphides.

5

The invention advantageously further provides a friction lining or a gasket which each comprise fibres according to the invention, the friction lining preferably containing tin sulphides in an amount of 0.5 to 10% by weight, based on the total amount of lubricating materials.

The inventive process for producing reinforcing and/or process fibres based on vegetable fibres comprises grinding the fibre material in an eddied air grinder. The use of such a grinder provides particularly good fibrillation of the elementary fibres of the vegetable fibres. The starting material is advantageously ultrasonicated fibre material, which may if appropriate still include shives.

Illustrative embodiments of the present invention will now be more particularly described with reference to drawings, where

25

Figure 1 is a micrograph of a fibrillated hemp fibre,

Figure 2 is a micrograph of a mixture of 80% of fibrillate hemp fibres and 20% of fibrillated aramid fibres,

30

Figure 3 is a micrograph of a mixture of 50% of fibrillate hemp fibres and 50% of fibrillated aramid fibres,

35

Figure 4 is a micrograph of a conventional fibrillated aramid fibre.

1. First illustrative embodiment:

In the first illustrative embodiment, the fibres according to the invention are produced using a laboratory mill. The laboratory mill used was a commercially available Moulinex 320 chopper designed for producing powders in the food sector. It was surprisingly found that such a laboratory mill provided particularly good fibrillation of vegetable fibres, especially hemp fibres.

The Moulinex 320 chopper laboratory mill consists of a cylindrical stator and a rotor disposed in the axis of the stator cylinder. Attached to the rotor are two blades which extend radially in the direction of the stator. The blades each have a radial length of about 50 mm and are vertically offset relative to each other by 12 mm. The laboratory mill is especially notable for having inner ribs on the base of the inner surface of the stator, which occur every 10° and which extend along the inner surface and parallel to the rotor axis approximately to the level of the upper blade. It is believed that the particularly good fibrillation of hemp fibres is due to these inner ribs, since a comparable mill without inner ribs provided very much worse fibrillation of hemp fibres. It is further believed that the inner ribs stop the fibre material turning with the blade when the starting fibres are less than 20 mm in length.

In the first illustrative embodiment, the fibres according to the invention were generated by placing hemp fibre material having a shives fraction of less than 10% by weight in the laboratory mill and grinding the hemp fibre material therein for between 30 and 90 sec. The

starting hemp fibres used were less than 20 mm in length.

Figure 1 shows hemp fibres which were fibrillated as described above by grinding with the laboratory mill for 90 sec. The elementary fibres from which individual smaller fibrils branch off are clearly visible. As in the case of aramid fibres, one end of the fibrils is attached to the respective mother elementary fibre.

The fibres produced as per the first illustrative embodiment by 90 sec laboratory milling the base fibres are hereinbelow referred to as A.

2. Second illustrative embodiment:

The second illustrative embodiment utilized a commercial grinder from Altenburger Maschinen Jäckering GmbH (Ultrarotor model type IIIa, 75 kw). Such a grinder is described in DE 35 43 370 A1. In this grinder, the millbase particles are accelerated to a high speed within a multiplicity of air eddies generated by the grinding plates. It is believed that the interaction of the millbase particles is responsible the grinding effect. More particularly, the grinding is only minimally due to the collision of the millbase particles with the stationary and rotating machine components. For this reason, the grinding apparatus used is referred to herein as an eddied air grinder.

Surprisingly, the fibrillated fibres produced using the laboratory mill can be reproduced using this grinder and even improved using modified settings of the grinder.

The starting material for producing the reinforcing and/or process fibres of the invention can be organic natural fibres or vegetable fibres, for example flax, hemp, sisal, jute or ramie fibres. It is particularly advantageous to use ultrasonicated hemp as a starting material. The fraction of shives therein is generally less than 10% by weight. The starting material was less than 20 mm in length. A further possible starting material is a fibre-shives mixture, in which case the shives fraction can be between 10% by weight and 95% by weight.

Three different fibrillated fibres were produced from hemp as per the second illustrative embodiment. The fibres were each ground in the grinder at different underpressures. The underpressure, i.e. the pressure difference from atmospheric pressure, was 45 mbar in the case of fibre B1, 25 mbar in the case of fibre B2 and 12 mbar in the case of fibre B3. The underpressure causes the fibre material to be conveyed through the grinder, so that the residence time of the fibre material in the grinder is shorter at higher underpressure. For instance, the residence time was about 1 sec in the case of a pressure of 12 mbar below atmospheric pressure and 1/2 sec or less in the case of underpressures of 25 and 45 mbar. The temperature in the grinder was never higher than 20°C throughout the grinding operation.

### 3. Comparative Example C:

Comparative Example C utilized fibrillated fibres from Schwarzwälder Textilwerke Heinrich Kautzmann GmbH of Schenkenzell, the starting material used again being ultrasonicated hemp fibres. The results of the investigation are shown in Table 1.

4. Comparison of inventive fibres with Comparative Examples C:

5 To characterize the fibres of the invention and compare them with the comparative fibres, the fibrillated fibres were examined under a microscope. The microscope used was from Carl Zeiss Jena ("Citoval 2" binocular; 16 times ocular; lens: zoom 0.63 to 6.3 times). The scale was defined using an ocular micrometer. The geometric dimensions of the fibres and fibrils were estimated semiquantitatively, the accuracy (one sigma) being estimated at 25%, based on the respective measured value. The magnification used was 10:1 for measuring the fibre lengths (1.0 times lens) and 50:1 for measuring the fibre diameters (5.0 times lens).

20 The terms used to characterized the fibrillated fibres will now be defined.

Fibre bundles:

25 "Fibre bundles", as used herein, comprehends two or more at least partially connected elementary fibres. The diameter of a fibre bundle is on the order between 0.04 mm to 0.4 mm with 80 area per cent of the inspected fibres lying in this range. In the case of diameters greater than 0.15 mm, the cross section of the fibre bundle is oval, and the diameter reported is the largest transverse dimension.

Elementary fibres:

35 Elementary fibres are individual fibres with 80 area per cent of the examined fibres having a diameter between 0.01 mm to 0.03 mm. Biologically, an elementary fibre is a cell.

Fibrils:

5 Fibrils are for the purposes of the comparison  
conducted herein fibres which are attached at one  
end to a less fine elementary fibre and whose  
diameter is in the range between 0.002 mm and 0.01  
mm and whose length is between 0.01 mm and 0.1 mm  
with again in each case 80 area per cent of the  
10 inspected fibrils lying in this range.

Degree of fibrillation:

15 The degree of fibrillation indicates which  
fraction of the length of an elementary fibre has  
fibrils. To determine the degree of fibrillation,  
an elementary fibre is divided into regions 1 mm  
in length in the course of the examination under  
the microscope. It is then determined whether a  
20 region 1 mm in length does or does not contain at  
least one fibril. The degree of fibrillation is  
then the number of 1 mm long regions with fibrils  
divided by the total number of regions examined.  
The degree of fibrillation is accordingly 100%  
25 when there is at least one fibril on the  
elementary fibre after every 1 mm. When fibrils  
occur at intervals greater than 1 mm along the  
elementary fibre, the degree of fibrillation is  
less than 100%.

30 Fraction of fibrils:

The measurement of the fibril fraction is to  
provide a more accurate method for determining the  
35 fibrillation of an elementary fibre. To determine  
the fibril fraction, elementary fibres are  
examined under the microscope. A micrograph is  
examined to determine the area occupied by the  
fibrils and the area occupied by the elementary



fibres. The fibril fraction in area per cent is the area of the fibrils divided by the sum total of the areas occupied by the fibrils and by the elementary fibres.

5

The fibril fraction in area per cent can be converted into a fibril fraction in per cent by weight by means of the following formula:

10

$$\frac{a}{a+d(1-a)}$$

where a is the fibril fraction in area per cent and d is the ratio of the diameter of the elementary fibre to the diameter of the fibril. For the conversion it is assumed as an approximation that the fibrils and the elementary fibres have the same density and each have the same diameter over their entire length.

20

Furthermore, the reported "80%" after a feature in Table 1 denotes that 80 area per cent of the examined fibres or fibrils lie within the stated range.

25

Specific surface area according to Blaine-Dyckerhoff:

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In addition, the specific surface area of the fibres was determined according to Blaine-Dyckerhoff. The measurement was carried out using an air permeability method according to Blaine (DIN EN 196 Edition 3.90 Part 6). The procedure is as follows:

35

First the density Dd of the fibres to be measured is determined. In the case of cellulose fibres, this density Dd is generally between 1.2 and 1.5 g/cm<sup>3</sup>, typically 1.4 g/cm<sup>3</sup>. From this density

and the volume  $V_d$  available in the measuring cell follows the mass  $M_d$  of the fibre material to be measured, as  $M_d = D_d \cdot V_d \cdot e$ , where  $e$  is the porosity. The porosity is defined as the ratio of the pore volume to the total volume. Here the porosity is 0.500. In the measuring cell, the fibre material is pressed cold between two round filters until the measuring cylinder can be completely closed. The method of measurement then determines the time which a certain amount of gas (generally air) needs to flow through the fibre material in the measuring cell at a predetermined initial underpressure. The time is taken which the surface of liquid in a U-tube manometer communicating with one end of the measuring cell needs to pass through a defined height difference.

The specific surface area according to Blaine-Dyckerhoff is then calculated as follows:

$$SS = \sqrt{t} \frac{c}{D_d}$$

where  $c$  is a coefficient determined by calibration.

The above-indicated DIN method envisages a fixed value of 0.500 for the porosity. If the volume of the measuring cell is not sufficient for the fibre material used, so that the fibre material mass calculated from the density and the volume of the measuring cell is in fact smaller, the porosity is calculated as follows:

$$e = 1 - M_d / (V_d \cdot D_d)$$

The specific surface area according to Blaine-Dyckerhoff is then obtained as follows:

$$SS = \frac{\sqrt{t} \cdot c \sqrt{2e^3}}{(1-e) \cdot Dd} \quad (\text{in cm}^2/\text{g}).$$

The Blaine-Dyckerhoff surface area correlates with the actual specific surface area, and the actual specific surface area can be accurately determined exactly by normalization against a reference standard having a known specific surface area. However, the measurements reported in the table hereinbelow have not been normalized, but indicate the specific surface area according to Blaine-Dyckerhoff.

The measurements were carried out using a Blaine air permeability tester from Toni Technik of Berlin (TonIPERM model).

The above-indicated coefficient  $c$  determined by calibration was about  $1.200 \text{ sec}^{-1/2} \text{ cm}^{-1}$  for this instrument.

Table 1 hereinbelow summarizes the results of the measurements.

Table 1:

Fibrillated fibre:					A	B1	B2	B3	C
1. Shives and fibre bundles:									
Fraction of total area of fibre material accounted for by shives and other nonfibres	2 area %	2 area %	1 area %	< 1 area %	< 1 area %	< 1 area %			
Fraction of total area of fibre material accounted for by fibre bundles	28 area %	48 area %	29 area %	10 area %	50 area %				
2. Elementary fibres									
Fraction of total area of fibre material accounted for by elementary fibres	70 area %	50 area %	70 area %	90 area %	50 area %				
Length range of elementary fibre fragments after grinding (80%)	1-5 mm	1-8 mm	1-4 mm	1-3 mm	1-4 mm				
Average length of elementary fibres	3.5 mm	4 mm	2.5 mm	1.5 mm	2 mm				
Degree of fibrillation	95%	90%	95%	100%	85%				
Fraction of fibrils (area per cent)	10 area %	5 area %	10 area %	20 area %	3 area %				
Fraction of fibrils (wt. %)	2.2 wt. %	1.0 wt. %	2.2 wt. %	4.8 wt. %	0.6 wt. %				
Specific surface area to Blaine-Dyckerhoff	4000-7000 cm <sup>2</sup> /g	3000-5000 cm <sup>2</sup> /g	4000-7000 cm <sup>2</sup> /g	5000-10000 cm <sup>2</sup> /g	2000-4000 cm <sup>2</sup> /g				

It is noted that, in the starting material, the degree of fibrillation was less than 20 per cent and the fibril fraction of an elementary fibre was less than 1 area per cent or 0.2 weight per cent.

5 It follows that the fibres B1, B2, B3 and A according to the invention all have a higher degree of fibrillation and a higher fibril fraction for an elementary fibre than the comparative fibre C. The comparison between the  
10 fibres produced according to illustrative embodiment 1 (A) and according to illustrative embodiment 2 (B1, B2, B3) shows that the degree of fibrillation obtained is consistently similar, the degree of fibrillation and the fibril fraction  
15 being improvable in the case of the grinder used in illustrative embodiment 2 by grinding at lower underpressure; that is, by extending the residence time of the fibres in the grinder.

20 Comparing the respective specific surface areas according to Blaine-Dyckerhoff for the fibrillated fibres, it is again found that the specific surface area according to Blaine-Dyckerhoff is larger for the fibres produced according to the  
25 invention than for the comparative fibre C. Fibre B3 produced according to the invention even approaches the specific surface area according to Blaine-Dyckerhoff for fibrillated aramid fibres, which is between 7000 cm<sup>2</sup>/g and 12000 cm<sup>2</sup>/g.

30 However, the specific surface area must always be viewed in connection with the average length of the elementary fibres, since a high specific surface area can also be created by substantially  
35 shortened attrited elementary fibres. In this case, however, a different fibre variety is present, possibly comprising fibre fragments having a very small diameter but no fibrils having a small diameter which are attached to their

mother elementary fibres having a larger diameter. So the specific surface area according to Blaine-Dyckerhoff is only of limited informativeness with regard to fibrillation.

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5. Blending of inventive fibres with fibrillated aramid fibres:

10 The fibrillated fibres according to the invention can be used as reinforcing or process fibres. More particularly, the fibres according to the invention are useful in gaskets and friction linings and also in composite materials, for example in the plastics and building materials sectors.

15

20 Furthermore, the fibrillated fibres according to the invention are very useful for producing fibre blends with straight fibrillated aramid fibres. Such blend fibres possess a particularly high strength and an almost identical fibril structure to straight aramid fibres, so that they too can be used in gaskets and friction linings for example. The fibrillated vegetable fibres can be present in the mixture in a weight fraction of 10% to 90%, and a fraction between 50% and 80% has been determined as particularly advantageous. Figures 2 and 3 depict micrographs of such blend fibres, the blend of Figure 2 containing 20 weight per cent of fibrillated aramid fibres and 80 weight per cent of fibrillated hemp fibres and the blend of Figure 3 containing equal weight fractions of fibrillated aramid fibres and fibrillated hemp fibres. The scale is the same in all photographs.

30

35 It follows from Figure 4.

6. Fibre-shives mixtures:

Furthermore, fibre-shives mixtures can be fibrillated according to the invention. Such mixtures are more economical than the use of straight fibres as a starting material and are advantageous in specific applications where additional fillers are desired.

Shives are the woody fibre plant constituents which do not contain bast fibres.

The fibre-shives mixture is used as a starting material for the fibrillation described in the first and second illustrative embodiments. The fibrillation provides fibrillated elementary fibres of the plants or organic natural fibres on the one hand and ground shives on the other. To obtain substantially shive-free reinforcing or process fibres, the shives fraction of the starting material can be below 10% by weight, advantageously below 2% by weight. When a higher shives fraction is desired, for example as an additional filler, it is typically between 25% by weight and 75% by weight (whole plant). Generally the shives fraction can be between 0% by weight and 95% by weight.

Friction linings

Friction linings, as well as the fibres according to the invention, generally comprise organic and/or inorganic fillers, lubricating materials, organic binders and/or metals or metal compounds. Friction linings generally contain about 0 to 70% by weight, especially 1 to 70% by weight, of metals, about 3 to 50% by weight of fillers, about 10 to 45% by weight of lubricating materials and about 3 to 25% by weight of fibres. Useful

lubricating materials include for example graphite, molybdenum disulphide, antimony trisulphide, lead sulphide or tin sulphides ( $\text{SnS}$ ,  $\text{SnS}_2$ ,  $\text{Sn}_2\text{Sn}_3(\text{Sn(II)}(\text{IV}) \text{ mixed sulphide})$ ). When tin sulphides are used as lubricating materials, they are generally present in an amount of 0.5 to 90% by weight, preferably 1 to 60% by weight, particularly preferably 2 to 30% by weight and especially 2 to 8% by weight, based on the total amount of the lubricating materials, and tin sulphide is preferably used as the sole metal sulphide in the lubricant group.

The fibres according to the invention can accordingly be included in additives for friction linings, especially in conjunction with tin sulphides as lubricating materials. The weight fraction of the fibres according to the invention in such additives is between 5 and 95% by weight, advantageously between 30 and 70% by weight.



CLAIMS

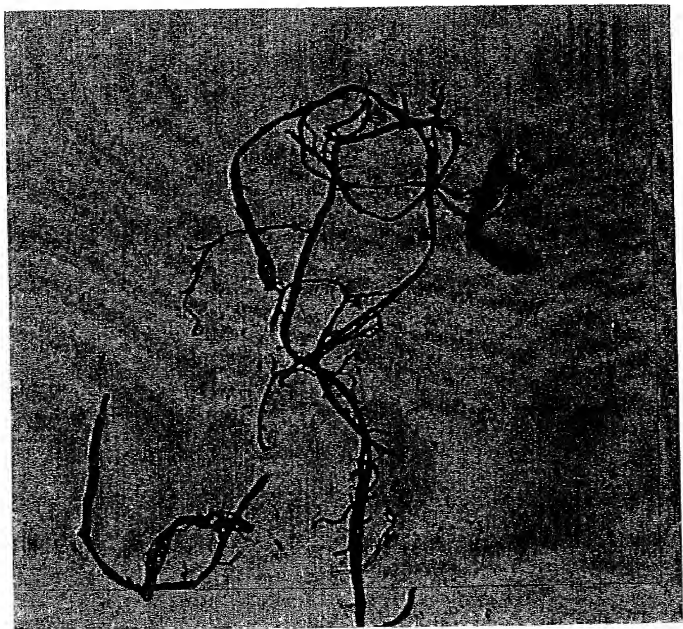
1. Reinforcing and/or process fibres based on vegetable fibres whose elementary fibres are fibrillated, characterized in that the elementary fibres have a fibril content greater than 3 area per cent and less than 50 area per cent.
2. Fibres according to Claim 1, characterized in that the fibres are based on flax, hemp, sisal, jute or ramie fibres.
3. Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 or 2 and fibrillated aramid fibres.
4. Fibres according to Claim 3, characterized in that the weight fraction of the fibres according to Claim 1 or 2 is between 10% and 90%.
5. Fibres according to Claim 3 or 4, characterized in that the weight fraction of the fibres according to Claim 1 or 2 is between 50% and 80%.
6. Reinforcing and/or process fibres comprising a mixture of fibres according to Claim 1 or 2 and shives.
7. Fibre according to Claim 6, characterized in that the weight fraction of the shives is less than 10%.
8. Fibre according to Claim 6, characterized in that the weight fraction of the shives is between 25 and 75%.
9. Additive for friction linings, containing fibres according to any of Claims 1 and 8 and tin sulphides.

10. Additive according to Claim 9, characterized in that the weight fraction of the fibres is between 5 and 95% by weight.
- 5
11. Friction lining, characterized in that it contains fibres according to any of Claims 1 to 8.
- 10
12. Friction lining according to Claim 11, characterized in that tin sulphides are present in an amount of 0.5 to 90% by weight, based on the total amount of the lubricating materials.
- 15
13. Gasket, characterized in that it contains fibres according to any of Claims 1 to 8.
- 20
14. Process for producing reinforcing and/or process fibres based on vegetable fibres, characterized in that the fibre material is ground in an eddied air grinder.
- 25
15. Process according to Claim 11, characterized in that the fibre material was ultrasonicated before grinding.
16. Process according to Claim 11 or 12, characterized in that the starting material used is a fibre-shives mixture.

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Figure 1

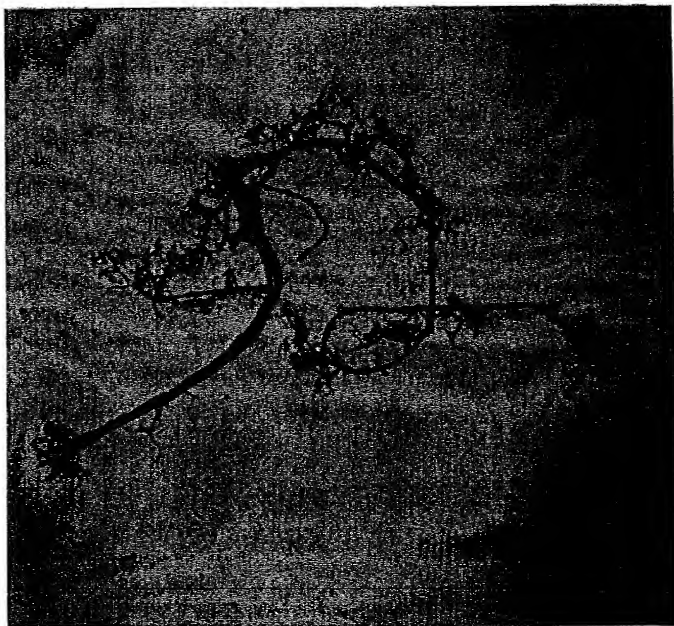


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Figure 2



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Figure 3

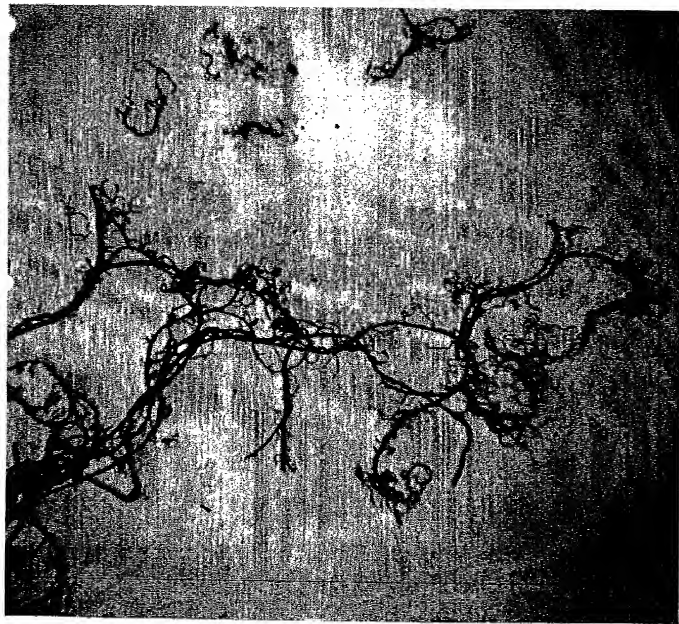


Figure 4



09/926432-123101

**DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

**REINFORCING AND/OR PROCESS FIBRES BASED ON VEGETABLE FIBRES  
AND PRODUCTION THEREOF**

the specification of which (check one):

- ☐ is attached hereto, or ☒ was filed on: **May 3, 2000** as PCT International Application Number: **PCT/EP00/03953**  
and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56*. I hereby claim foreign priority benefits under *Title 35, United States Code §119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No
199 20 225.7	Germany	May 3, 1999	X	

☐ Additional Priority Application(s) Listed on Following Page(s)

**I HEREBY CLAIM THE BENEFIT UNDER TITLE 35 U.S. CODE §119(E) OF ANY U.S. PROVISIONAL APPLICATIONS LISTED BELOW.**

Application Number	Day/Month/Year Filed

☐ Additional Provisional Application(s) Listed on Following Page(s)

I hereby claim the benefit under *Title 35, United States Code, §120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, §112*, I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56* which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Number	Filing Date	Status - Patented, Pending or Abandoned

☐ Additional US/PCT Priority Application(s) listed on Following Page(s)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under *section 1001 of title 18 of the United States Code* and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; Benjamin E. Urcia, Reg. No. 33,805; and

I (we) authorize my (our) attorneys to accept and follow instructions from REITSTOTTER, KINZEBACH & PARTNER regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I (we) or my (our) assigns withdraw this authorization in writing.

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☒ See following page(s) for additional joint inventors.

## CONTINUATION OF DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

Page 2

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FULL NAME OF JOINT INVENTOR	CITIZENSHIP
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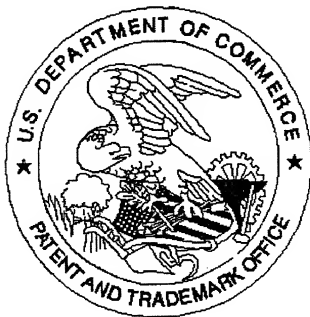
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(04A)(x)(1998)



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